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INTERGOVERNMENTAL NEGOTIATING COMMITTEE FOR AN
INTERNATIONAL LEGALLY BINDING INSTRUMENT
FOR IMPLEMENTING INTERNATIONAL ACTION ON
CERTAIN PERSISTENT ORGANIC POLLUTANTS

Seventh session

Geneva, 14-18 July 2003

Item 5 of the provisional agenda*

Preparations for the Conference of the Parties

FORMAT AND TIMING OF PARTY REPORTING UNDER ARTICLE 15**

Note by the secretariat

Introduction

1. Paragraph 1 of article 15 of the Stockholm Convention on Persistent Organic Pollutants requires each Party to report to the Conference of the Parties on the measures it has taken to implement the provisions of the Convention and on the effectiveness of such measures in meeting the objectives of the Convention. Paragraph 2 of the article sets out the information to be reported, while paragraph 3 stipulates that reporting must be carried out at periodic intervals and in a format to be decided by the Conference of the Parties at its first meeting.

2. The Conference of Plenipotentiaries on the Stockholm Convention, in paragraph 4 of its resolution 1, invited the Intergovernmental Negotiating Committee to focus its efforts during the interim period on those activities required or encouraged by the Convention that will facilitate the rapid entry into force and effective implementation of the Convention upon its entry into force, including, for consideration by the Conference of the Parties, the development of, inter alia, guidance for the periodicity and format of reports by Parties.

* UNEP/POPS/INC.7/1.

** Stockholm Convention, article 15, paragraph 3; Conference of Plenipotentiaries on the Stockholm Convention, resolution 1, paragraph 4 (in document UNEP/POPS/CONF/4, appendix I); the report of the Intergovernmental Negotiating Committee on its sixth session (UNEP/POPS/INC.6/22), annex I, decision INC-6/16.

3. At its sixth session, the Intergovernmental Negotiating Committee, in its decision INC-6/16, invited Governments to provide the secretariat, by 30 November 2002, with comments on the timing and format of Party reporting under the Stockholm Convention and requested the secretariat, taking into account the submissions received, to prepare a draft model format on reporting for consideration by the Committee at its seventh session and a report that reviews obligations, processes and formats for reporting under other multilateral environment agreements, and the reporting obligations under the Stockholm Convention, for consideration by the Committee at its seventh session, and for possible consideration by the Conference of the Parties at its first meeting following any further work on them by the Committee.

I. COMMENTS RECEIVED

4. In response to the request in the aforementioned decision INC-6/16, the secretariat received 11 responses from Governments. The actual submissions are reproduced in document UNEP/POPS/INC.7/INF/16.

5. Points made in the comments received regarding the format of Party reporting under the Stockholm Convention included the following:

(a) The format for reporting should be simple in order to reduce the reporting burden, to focus on significant implementation measures adopted by Parties and to facilitate efficient and effective reporting;

(b) The format should establish a clear distinction between mandatory and voluntary reporting requirements;

(c) The formats for reporting should be available in the six United Nations official languages and in electronic and hard-copy versions;

(d) The format should reflect the obligations contained in the Convention;

(e) The format should be accompanied by clear instructions on how to complete it;

(f) The format should allow for comparability in reporting between countries;

(g) The format should be in line with the national implementation plans called for under article 7 of the Convention;

(h) The format should take into account compatibility with provisions for reporting under other multilateral environmental agreements;

(i) The general recommendations by the United Nations Economic Commission for Europe concerning reporting contained in document CEP/AC.11/2003/18 should be followed.

6. Points made in the comments received regarding the timing of Party reporting under the Stockholm Convention included the following:

(a) First national reports under article 15 of the Convention should be available at least a year before the effectiveness evaluation required under article 16 takes place;

(b) National reporting should be on an annual basis;

(c) National reporting under article 15 should be at intervals of at least two years to allow for the preparation of data on emissions;

- (d) National reports should be submitted every five years, at the same time as the reporting required under annex A, part II, subparagraph (g) and annex B, part II, paragraph 4;
- (e) Due consideration should be given to the time required to prepare the data to be reported;
- (f) From 12 to 14 months after the end of the reporting period would be an adequate amount of time to compile the data.

II. REVIEW OF OBLIGATIONS, PROCESSES AND FORMATS FOR REPORTING UNDER OTHER MULTILATERAL ENVIRONMENT AGREEMENTS

7. In undertaking a review of the obligations, processes and formats for reporting under other multilateral environmental agreements, the secretariat identified the following five multilateral environmental agreements that are currently in force and have comparable reporting obligations to the Stockholm Convention: the Montreal Protocol on Substances that Deplete the Ozone Layer (1987); the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal (1989); the United Nations Framework Convention on Climate Change (1992); the Convention on Biological Diversity (1992); and the United Nations Convention to Combat Desertification (1998). A table containing a review of the process, format and timing of reporting obligations under the aforementioned multilateral environmental agreements is included in annex I to the present note.

8. Regular reporting by Parties is a common feature of multilateral environmental agreements. In general terms, the reporting provisions contained in the five multilateral environmental agreements which were reviewed aim to provide information relevant to, inter alia, assessing the progress achieved towards meeting the objectives of the agreement in question and identifying the needs of Parties in order for them to fulfil their obligations.

III. REPORTING OBLIGATIONS UNDER THE STOCKHOLM CONVENTION

9. An overview of obligations under the Stockholm Convention requiring regular Party reporting are contained in table 1 below. The table provides a list of the reporting obligations under the Convention, a description of the reporting requirement and an indicative of the periodicity.

10. A diagram setting out the prescribed milestones related to reporting under the Stockholm Convention in a chronological manner is contained in annex II to the present note.

Table 1. Reporting obligations under the Stockholm Convention

CONVENTION OBLIGATION	DESCRIPTION OF REQUIREMENT	PERIODICITY
Article 5, subparagraph (a) Measures to reduce or eliminate releases from unintentional production	Requires each Party to develop an action plan, or, where appropriate, a regional or subregional action plan, and subsequently to implement it as part of its national implementation plan specified in article 7, designed to identify, characterize and address the release of the chemicals listed in Annex C of the Convention.	Within two years of the date in which the Convention enters into force for that Party
Article 5, subparagraph (a) (v): Measures to reduce or eliminate releases from unintentional production	A review to be undertaken of those strategies pursuant to the development of an action plan to identify, characterize and address the release of the unintentionally produced persistent organic pollutants listed in Annex C, and of their success.	Every five years
Article 7: Implementation plans	Requires each Party to develop and endeavour to implement an implementation plan and transmit it to the Conference of the Parties, and requires each Party to review and update its plan on a periodic basis and in a manner to be specified in a decision of the Conference of the Parties.	Transmission to the Conference of the Parties within two years of the date on which the Convention enters into force for that Party.
Article 15: Reporting	Each Party shall report to the Conference of the Parties on the measures it has taken to implement the provisions of the Convention and on the effectiveness of such measures in meeting the objectives of the Convention. Each Party shall provide to the Secretariat: (a) Statistical data on its total quantities of production, import and export of each of the chemicals listed in Annex A and Annex B or a reasonable estimate of such data; and (b) To the extent practicable, a list of the States from which it has imported each such substance and the States to which it has exported each such substance.	To be decided by the Conference of the Parties.
Article 16: Effectiveness evaluation	Requires an evaluation of effectiveness commencing four years after the date of entry into force of the convention and periodically thereafter. Requires also reports and information, including the reports and monitoring information called for in paragraph 2 of article 16 (results of monitoring activities on a regional and global basis), the national reports submitted pursuant to article 15 and non-compliance information provided pursuant to the procedures to be established under article 17.	Commencing four years after the entry into force of the Convention.
Annex A, part II subparagraph (g)	Requires each Party to provide a report every five years on progress in eliminating polychlorinated biphenyls and submit it to the Conference of the Parties pursuant to article 15.	Every five years
Annex B, part II, paragraph 4	Each Party that uses DDT is required to provide to the Secretariat information on the amount used, the conditions of such use and its relevance to that Party's disease management strategy in a format to be decided by the Conference of the Parties in consultation with the World Health Organization.	Every three years

IV. CONSIDERATIONS

11. When considering the timing and periodicity of the reporting under article 15 of the Convention, the timing of other reporting obligations under the Convention as well as the periodicity and timing of the meetings of the Conference of the Parties must be taken into account.

12. Under article 19 of the Convention, the first meeting of the Conference of the Parties must be convened no later than one year after the entry into force of the Convention, and in accordance with the draft rules of procedures of the Conference of the Parties, the second and third ordinary meetings of the Conference of the Parties must be held no later than one and two years after the first meeting. Thereafter, ordinary meetings must be held every two years.

13. Article 15 reports will provide a substantial input to the effectiveness evaluation. Therefore, their timing plays a key role in assuring the relevance and success of the effectiveness evaluation called for under article 16 of the Convention, which is to commence four years after the entry into force of the Convention.

14. The national implementation plans under article 7 are of relevance to the reporting obligation under article 15, both for their timing and for the information they may provide that will form the basis for the development of Party reporting.

15. Under article 7 of the Convention, within two years of the date on which the Convention enters into force for a Party, that Party must transmit to the Conference of the Parties an implementation plan. Consequently, two years after the entry into force of the Convention at least 50 Parties will be required to submit their national implementation plans.

16. Timing may have an impact on the quality of national reports as well as on the volume of information which the Conference of the Parties can usefully review. One element of timing concerns the frequency of reporting: the intervals between the submission of the first, second and subsequent national reports need not be the same.

17. Electronic formats, guidelines and instruction manuals, together with clear reporting formats, can be an asset. Concise and pertinent information may assist both the effectiveness evaluation of the Convention called for in article 16 and also the periodic reports to be prepared by the secretariat based on information received pursuant to article 15 of the Convention.

18. In the light of the above considerations, and to ensure that first national reports under article 15 are submitted on time to provide input to the effectiveness evaluations required under article 16, first national reports should be submitted to the Conference of the Parties at its third meeting.

19. The timing for subsequent national reports could be set for alternative meetings of the Conference of the Parties, i.e., every four years. The decision on this timing should bear in mind the decision adopted by the Conference of the Parties regarding the periodicity of future effectiveness evaluations of the Convention pursuant to article 16.

V. MODEL FORMAT FOR REPORTING

20. In response to the request by the Intergovernmental Negotiating Committee at its sixth session in its decision INC-6/16 referred to in paragraph 3 above, the secretariat has developed a draft model format for reporting under article 15 of the Convention. The draft model format is given in annex III to the present note. The final format for reporting would be made available in electronic version as well as in hard copy and will be accompanied by clear instructions and examples as to how it must be completed.

VI. POSSIBLE ACTION BY THE COMMITTEE

21. The Committee may wish to take note of the information contained in the present note and consider:

(a) Providing recommendations on the format and timing of reporting by the Parties required under article 15 for consideration by the Conference of the Parties at its first meeting;

(b) Recommending a target of the third meeting of the Conference of the Parties as a possible timing for the first report to be submitted by the Parties pursuant to article 15, and recommending that the reports should be submitted every four years thereafter;

(c) Reviewing and revising, as appropriate, the draft model format shown in annex III to the present note with a view to submitting it for consideration by the Conference of the Parties at its first meeting;

(d) Requesting the secretariat to field test the draft model format for reporting given in annex III to the present note and to submit a report on the experience to the Conference of the Parties at its first meeting.

Annex I

REVIEW OF THE REPORTING OBLIGATIONS UNDER OTHER MULTILATERAL ENVIRONMENTAL AGREEMENTS


CONVENTION NAME	MONTREAL PROTOCOL ON SUBSTANCES THAT DEplete THE OZONE LAYER (1987)	BASEL CONVENTION ON THE CONTROL OF TRANSBOUNDARY MOVEMENTS OF HAZARDOUS WASTES AND THEIR DISPOSAL (1989)	UNITED NATIONS FRAMEWORK CONVENTION ON CLIMATE CHANGE (1992)	CONVENTION ON BIOLOGICAL DIVERSITY (1992)	CONVENTION TO COMBAT DESERTIFICATION IN THOSE COUNTRIES EXPERIENCING SERIOUS DROUGHT AND/OR DESERTIFICATION PARTICULARLY IN AFRICA (1994)
OBLIGATION	<p>Article 7: Reporting on Data</p> <ul style="list-style-type: none"> Each Party is requested to provide statistical data regarding its production, import and export of controlled substances under the Protocol. 	<p>Article 13. 3: Transmission of Information</p> <ul style="list-style-type: none"> Information on the generation and movement of hazardous wastes 	<p>Article 12: Communication of Information related to implementation</p>	<p>Article 26: Measures taken by a Party for the implementation of the provisions of the Convention</p>	<p>Article 26: Communication of Information</p> <ul style="list-style-type: none"> Submission of reports on the measures taken by the Party for the implementation of the Convention
FORMAT AND CONTENT	<ul style="list-style-type: none"> Electronic format available for the submission of data 	<ul style="list-style-type: none"> Form for providing data is available. Form and instructions recently revised by COP 6. Eight categories of information specified in the Convention Text. 	<ul style="list-style-type: none"> Flexible formats with precise guidelines on information to be included. Information content clearly outlined in Article 12 of the Convention. Comparable methodologies for key data. 	<ul style="list-style-type: none"> Format adopted and reviewed periodically by COP. Long and detailed information required 	<ul style="list-style-type: none"> Format and content defined in decision 11 adopted by COP 1. Specific structure requested No electronic format available.
LENGTH	<ul style="list-style-type: none"> Limited in accordance with the format. Data required is very specific. 	<ul style="list-style-type: none"> No limitation 	<ul style="list-style-type: none"> No limitation 	<ul style="list-style-type: none"> Limited to the format requested Format with brief guidelines 	<ul style="list-style-type: none"> Summary not exceeding 6 pages. Body of report has no restriction in length but must follow structure in decision 11 COP 1.
TIMING	<ul style="list-style-type: none"> Pursuant to paragraph 1 and 2 of article 7, within 3 months of the particular provisions entering into force for the Party, it shall provide Specific data on previous years. Data pursuant to paragraph 3 of Article 7, is to be forwarded to the Secretariat annually not later than 9 months after the end of the year to which the data relates. 	<ul style="list-style-type: none"> Annually or when significant new developments occur to require reporting 	<ul style="list-style-type: none"> Dates for the submission of the 1st Communication are clearly defined in text of the Convention. The frequency for subsequent submissions is to be decided by COP. For Annex I Countries: 1st within 6 months of entry into force of the Convention for that Party. The COP decided: 2nd by 15 April 1997, 3rd by 30 Nov. 2001, 4th by 1 Jan. 2006. For Non-Annex I Countries: 1st within 3 years of entry into force of the Convention for that Party, 2nd 	<ul style="list-style-type: none"> 1st National Report 1 Jan. 1998 2nd National Report 15 May 2001 in time for it to be considered by the COP VI (April 2001): thereafter reports are to be submitted at alternate ordinary meetings of the COP. 	<ul style="list-style-type: none"> 1st Review Process: COP3 initiated review of national reports. At this session the COP examined the reports of affected African Countries COP 4 examined the reports of other affected country Parties of other regions. Reports are to be submitted 6 months prior to the session in which they are to be reviewed.

CONVENTION NAME	MONTREAL PROTOCOL ON SUBSTANCES THAT DEplete THE OZONE LAYER (1987)	BASEL CONVENTION ON THE CONTROL OF TRANSBOUNDARY MOVEMENTS OF HAZARDOUS WASTES AND THEIR DISPOSAL (1989)	UNITED NATIONS FRAMEWORK CONVENTION ON CLIMATE CHANGE (1992)	CONVENTION ON BIOLOGICAL DIVERSITY (1992)	CONVENTION TO COMBAT DESERTIFICATION IN THOSE COUNTRIES EXPERIENCING SERIOUS DROUGHT AND/OR DESERTIFICATION PARTICULARLY IN AFRICA (1994)
			date to be decided by COP 9.		
LANGUAGE OF COMMUNICATION	<ul style="list-style-type: none"> • Reporting formats available in English, French and Spanish • Other specific formats are available in English only. 	<ul style="list-style-type: none"> • In official UN language and, if possible, English 	<ul style="list-style-type: none"> • In official UN language, and if possible English 	<ul style="list-style-type: none"> • Working languages of the Convention. 	<ul style="list-style-type: none"> • One of the 6 official languages of the COP
AVAILABILITY OF ASSISTANCE	<ul style="list-style-type: none"> • The information is submitted to the secretariat that prepares and distributes regularly reports pursuant to Article 7 of the Convention. • Handbook on data reporting available at Convention Site • Specific electronic formats available for download from web site. • Data reporting tools available in languages 	<ul style="list-style-type: none"> • Transmitted to the COP through the secretariat • Secretariat circulates formats to all Parties every year 	<ul style="list-style-type: none"> • Transmitted through the secretariat to the COP. • Annex I Party communications are subject to in-depth review, within one year of receipt by secretariat. Expert review teams under the authority of the subsidiary bodies carry out the reviews. • Non Annex I Party communications are compiled and synthesized by the secretariat and are not subject to in-depth review. • COP provides general guidance on national communications. The Guidance for Non Annex I Parties is currently under review by the COP. Technical manuals currently under preparation. • Secretariat facilitates provision of financial and technical assistance for Non- Annex I Countries for the development of their communication. 	<ul style="list-style-type: none"> • National reports and thematic reports transmitted to the COP • Assistance provided by the Financial Mechanism is available for eligible countries • Electronic formats available at the Convention's web site: www.biodiv.org 	<ul style="list-style-type: none"> • Transmitted to the COP through the Secretariat • First Review process was undertaken by a working group establish under COP 4. • Decision 1/COP.5 established a Committee for review of the implementation of the Convention, which meets inter-sessionally. • Help guide available for each region.

Annex II

TIMING OF REPORTING OBLIGATIONS UNDER THE STOCKHOLM CONVENTION

YEAR	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	
MILE STONE	ENTRY INTO FORCE OF THE CONVENTION ¹	COP1	COP2	COP3		COP 4		COP5		COP6		COP7		COP8		COP9	
			IMPLEMENTATION PLANS ²	REPORT ON DDT ³ * 1ST NATIONAL REPORT⁴	1 ST EFFECTIVENESS EVALUATION ⁵		REPORT ON PROGRESS IN ELIMINATION OFPCBS ⁶	REPORT ON DDT	* 2ND NATIONAL REPORT⁷ REVIEW OF STRATEGIES TO ADDRESS RELEASE OF U-POPS ⁸		REPORT ON DDT ³	REPORT ON PROGRESS IN ELIMINATION OF PCBs ⁶	* 3rd National Report	REPORT ON DDT ³ REVIEW OF STRATEGIES TO ADDRESS RELEASE OF U-POPS ⁸			* 4TH NATIONAL REPORT⁷ REPORT ON PROGRESS IN ELIMINATION OFPCBS ⁶



¹ Pursuant to Article 26, the Convention will enter into force on the 90th day after the date of deposit of the 50th instrument of ratification, acceptance, approval or accession.

² National implementation plans to be transmitted to the Conference of the Parties pursuant to Article 7 of the Convention.

³ Report on DDT, to be submitted pursuant to Annex B, Part II, paragraph 4 of the Convention.

⁴ Tentative date for the submission of the first National Report to the Conference of the Parties pursuant to Article 15 of the Convention.

⁵ Pursuant to Article 16 of the Convention: Effectiveness evaluation to commence.

⁶ Report on progress in eliminating PCBs, to be submitted pursuant to Annex A, Part II, sub-paragraph (g).

⁷ Tentative date for submission of National Report to be submitted pursuant Article 15 of the Convention.

⁸ Review of strategies to characterize and address unintentionally produced POPs pursuant to Article 5, subparagraph (a)(v).

Annex III
DRAFT MODEL FORMAT

<i>STOCKHOLM CONVENTION ON PERSISTENT ORGANIC POLLUTANTS NATIONAL REPORT PURSUANT TO ARTICLE 15</i>	
1. INFORMATION ON THE CONTRACTING PARTY	
Name of Contracting Party	
Date on which its instrument of Ratification/ Accession/ Approval or Acceptance was deposited	<i>(day/month/year)</i>
2. INFORMATION ON NATIONAL FOCAL POINT	
Full name of the institution	
Name and title of contact officer	
Mailing address	
Telephone number	
Fax number	
E-mail	
Web page	
3. INFORMATION ON CONTACT OFFICER SUBMITTING THE NATIONAL REPORT IF DIFFERENT TO THE ABOVE	
Full name of the institution	
Name and title of contact officer	
Mailing address	
Telephone	
Facsimile	
E-mail	
Web page	
4. PERIOD REPORTED	<i>1st Report for the period (day/month/200_) to (day/month/200_)</i>
5. DATE THE REPORT WAS SUBMITTED	<i>(day/month/year)</i>

INFORMATION ON THE MEASURES TAKEN BY THE PARTY TO IMPLEMENT THE PROVISIONS OF THE STOCKHOLM CONVENTION AND ON THE EFFECTIVENESS OF SUCH MEASURES IN MEETING THE OBJECTIVES OF THE CONVENTION	
SECTION I. ARTICLE 7: IMPLEMENTATION PLANS	
<p>1. Has your country developed a National Implementation Plan Pursuant to Article 7 of the Stockholm Convention?</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No <i>(If no, state why and go to the next section)</i></p>	
<p>2. If yes, did your country receive financial assistance from the Global Environment Facility?</p> <p><input type="checkbox"/> Yes <i>(please state name of implementing agency)</i></p> <p><input type="checkbox"/> No <i>(please state why)</i></p>	
<p>3. Has your country transmitted its National Implementation Plan to the Conference of the Parties?</p> <p><input type="checkbox"/> Yes <i>(If yes provide date (day/month/year) below)</i></p> <p><input type="checkbox"/> No <i>(state why)</i></p>	

4. Has your country encountered any difficulties in the implementation of the above-mentioned Implementation Plan?

- Yes (please specify)
- No
- Other (please provide information)

SECTION II. ARTICLE 3: MEASURES TO REDUCE OR ELIMINATE RELEASES FROM INTENTIONAL PRODUCTION AND USE

5. Has your country prohibited or taken any legal or administrative measures necessary to eliminate the production and use of chemicals listed in Annex A of the Convention?

- Yes (please fill in table below as appropriate)
- No (briefly state why)
- Other (please specify)

CHEMICAL	LEGAL/ ADMINISTRATIVE MEASURE	DATE (DD/MM/YY)
Aldrin CAS No: 309-00-2		
Chlordane CAS No: 57-74-9		
Dieldrin CAS No: 60-57-1		
Endrin CAS No: 72-20-8		
Heptachlor CAS No: 76-44-8		
Hexachlorobenzene CAS No: 118-74-1		
Mirex CAS No: 2385-85-5		
Toxaphene CAS No: 8001-35-2		
Polychlorinated Biphenyls (PCB)		

6. Has your country taken any measures necessary to restrict the production and /or use of the chemicals listed in Annex B of the Convention, in accordance with the provisions of that Annex?

- Yes (please fill in table below as appropriate)
- No (briefly state why)
- Other (please specify)

CHEMICAL	MEASURE	DATE (DD/MM/YY)
DDT (1,1,1-trichloro-2, 2-bis (4-chlorophenyl) ethane) CAS No: 50-29-3		

7. Pursuant to paragraphs 1 and 2 of Article 3, has your country prohibited or taken any legal or administrative measures necessary to eliminate the import of chemicals listed in Annex A of the Convention?

- Yes (please fill in table below as appropriate)
 No (briefly state why)
 Other (please provide brief explanation)

CHEMICAL	LEGAL/ ADMINISTRATIVE MEASURE	DATE (DD/MM/YY)
Aldrin CAS No: 309-00-2		
Chlordane CAS No: 57-74-9		
Dieldrin CAS No: 60-57-1		
Endrin CAS No: 72-20-8		
Heptachlor CAS No: 76-44-8		
Hexachlorobenzene CAS No: 118-74-1		
Mirex CAS No: 2385-85-5		
Toxaphene CAS No: 8001-35-2		
Polychlorinated Biphenyls (PCB)		

8. Pursuant to paragraphs 1 and 2 of Article 3, has your country prohibited or taken any legal or administrative measures necessary to eliminate the export of chemicals listed in Annex A of the Convention?

- Yes (please fill in table below as appropriate)
 No (briefly state why)
 Other (please provide brief explanation)

CHEMICAL	LEGAL/ ADMINISTRATIVE MEASURE	DATE (DD/MM/YY)
Aldrin CAS No: 309-00-2		
Chlordane CAS No: 57-74-9		
Dieldrin CAS No: 60-57-1		
Endrin CAS No: 72-20-8		
Heptachlor CAS No: 76-44-8		
Hexachlorobenzene CAS No: 118-74-1		
Mirex CAS No: 2385-85-5		
Toxaphene CAS No: 8001-35-2		
Polychlorinated Biphenyls (PCB)		

9. Pursuant to paragraph 2 (a) of Article 3, has your country taken any measures regarding the export of chemicals listed in Annex B of the Convention?

- Yes (please fill in table below as appropriate)
- No (briefly state why)
- Other (please provide brief explanation)

CHEMICAL	MEASURE	DATE (DD/MM/YY)
DDT (1,1,1-trichloro-2, 2-bis (4-chlorophenyl) ethane) CAS No: 50-29-3		

10. Pursuant to paragraph 2 (a) of Article 3, has your country taken any measures regarding the import of chemicals listed in Annex B of the Convention?

- Yes (please fill in table below as appropriate)
- No (briefly state why)
- Other (please provide brief explanation)

CHEMICAL	MEASURE	DATE (DD/MM/YY)
DDT (1,1,1-trichloro-2, 2-bis (4-chlorophenyl) ethane) CAS No: 50-29-3		

SECTION III ARTICLE 4: REGISTER OF SPECIFIC EXEMPTIONS

11. Has your country registered for an exemption pursuant to Article 4?

- Yes (please provide information and date(day/month/year)) in which it was registered))
- No
- Other (please provide brief explanation)

SECTION IV ARTICLE 5: MEASURES TO REDUCE OR ELIMINATE RELEASES FROM UNINTENTIONAL PRODUCTION

12. Pursuant to Article 5 of the Convention, has your country developed an action plan or, where appropriate, a regional or sub-regional plan, designed to identify, characterize and address the release of the chemicals listed in Annex C and to facilitate the implementation of subparagraphs (b) to (e)?

- Yes as part of the National Implementation Plan (provide date (day/month/year) and a brief description)
- No (If no state why and go the next section)
- Other (please specify)

13. Has your country encountered any difficulties in the implementation of the above-mentioned action Plan?

- Yes (please describe)
- No

14. Has your country undertaken an evaluation of the current and projected releases derived from anthropogenic sources of the chemicals listed in Annex C of the Convention?

- Yes it is included in the Action Plan called for in Article 5 (a)
- No (please specify and go to the next section)
- Other (please specify)

15. If your answer to question 14 above was yes, please provide the information requested in the table below regarding the overview of current releases of PCDD/PCDF

SOURCE CATEGORY ACCORDING TO ACTION PLAN	ANNUAL RELEASES (gTEQ/a)				
	AIR	WATER	LAND	PRODUCT	RESIDUE
TOTAL					

16. If your answer to question 14 above was yes, please provide the information requested in the table below regarding the overview of projected releases of PCDD/PCDF

SOURCE CATEGORY ACCORDING TO ACTION PLAN	ANNUAL RELEASES (gTEQ/a)				
	AIR	WATER	LAND	PRODUCT	RESIDUE
TOTAL					

SECTION V. ARTICLE 6: MEASURES TO REDUCE OR ELIMINATE RELEASES FROM STOCKPILES AND WASTES

17. Has your country developed strategies for:
- (a) Identifying stockpiles consisting of or containing chemicals listed either in Annex A or Annex B of the Convention
 - (b) Products and articles in use and wastes consisting of, containing or contaminated with chemicals listed in Annex A, B or C
- (Please tick one box as appropriate)*
- i Yes for (a) and (b) as part of the National Implementation Plan development process
 - ii Yes only for (a)
 - iii Yes only for (b)
 - iv No *(please state why)*
 - v Other *(please specify)*

18. If you responded yes to the above question, has your country identified stockpiles consisting of or containing chemicals listed in Annex A or Annex B on the basis of the strategies it has developed for that purpose?

- Yes (please provide brief information in the table provided below)
- No
- Other (please specify)

CHEMICAL	QUANTITY (KG)	LOCATION / CONDITION
	Kg	
	Kg	
	Kg	
	Kg	
	Kg	
	Kg	
	Kg	
	Kg	
	Kg	

19. Has your country adopted any legislative or/and administrative measures to manage stockpiles in a safe efficient and environmentally sound manner?

- Yes as part of the National Implementation Plan
- No (state why)
- Other (please specify)

20. Has your country adopted legislative or/and administrative measures pursuant to paragraph (d) of Article 6?

- Yes as part of the National Implementation Plan
- No
- Other (please specify).

SECTION VII. INFORMATION REQUIRED PURSUANT PARAGRAPH 2 OF ARTICLE 15 OF THE CONVENTION

PRODUCTION OF CHEMICALS LISTED IN ANNEX A AND B OF THE CONVENTION

21. Does or has your country produced any of the chemicals listed in Annex A and B of the Convention (*see table below for complete list of chemicals*)?

- Yes (*If yes, please provide the relevant statistical data, as appropriate using the table below*)
 No

CHEMICAL	TOTAL ANNUAL PRODUCTION FOR REPORTING PERIOD		
	Year (Kg / yr)	Year (Kg / yr)	Year (Kg / yr)
Aldrin CAS No: 309-00-2			
Chlordane CAS No: 57-74-9			
Dieldrin CAS No: 60-57-1			
Endrin CAS No: 72-20-8			
Heptachlor CAS No: 76-44-8			
Hexachlorobenzene CAS No: 118-74-1			
Mirex CAS No: 2385-85-5			
Toxaphene CAS No: 8001-35-2			
Polychlorinated Biphenyls (PCB)			
DDT (1,1,1-trichloro-2, 2-bis (4-chlorophenyl) ethane) CAS No: 50-29-3			

EXPORT OF CHEMICALS LISTED IN ANNEX A OF THE CONVENTION

22. Does or has your country exported any of the chemicals listed in Annex A and B of the Convention (*see table below for complete list of chemicals*)?

- Yes (*If yes, please provide relevant data, as appropriate using the table below*)
 No

EXPORT INFORMATION		
CHEMICAL	TOTAL ANNUAL EXPORT (Kg/yr)	DESTINATION COUNTRIES <i>(To the extent practicable provide a list of the countries to which the chemical has been exported to)</i>
Aldrin CAS No: 309-00-2		
Chlordane CAS No: 57-74-9		
Dieldrin CAS No: 60-57-1		
Endrin CAS No: 72-20-8		
Heptachlor CAS No: 76-44-8		
Hexachlorobenzene CAS No: 118-74-1		
Mirex CAS No: 2385-85-5		
Toxaphene CAS No: 8001-35-2		
Polychlorinated Biphenyls (PCB)		
DDT (1,1,1-trichloro-2, 2-bis (4-chlorophenyl) ethane) CAS No: 50-29-3		

IMPORT OF CHEMICALS LISTED IN ANNEX A OF THE CONVENTION		
<p>23. Does or has your country imported any of the chemicals listed in Annex A and B of the Convention (<i>see table below for complete list of chemicals</i>)?</p> <p><input type="checkbox"/> Yes (<i>If yes, please provide relevant data, as appropriate using the table below</i>).</p> <p><input type="checkbox"/> No</p>		
IMPORT INFORMATION		
CHEMICAL	TOTAL ANNUAL IMPORT (Kg/yr)	COUNTRIES OF ORIGIN <i>(To the extent practicable provide a list of the countries from which the chemical has been imported)</i>
Aldrin CAS No: 309-00-2		
Chlordane CAS No: 57-74-9		
Dieldrin CAS No: 60-57-1		
Endrin CAS No: 72-20-8		
Heptachlor CAS No: 76-44-8		
Hexachlorobenzene CAS No: 118-74-1		
Mirex CAS No: 2385-85-5		
Toxaphene CAS No: 8001-35-2		
Polychlorinated Biphenyls (PCB)		
DDT (1,1,1-trichloro-2,2-bis (4-chlorophenyl) ethane) CAS No: 50-29-3		
INFORMATION REGARDING CHEMICALS LISTED IN ANNEX B OF THE CONVENTION		
<p>24. Has your country submitted a report pursuant to paragraph 4 of Part II of Annex B?</p> <p><input type="checkbox"/> Yes If yes, when _____ (<i>please provide date (day/month/year) in which the report was submitted to the Secretariat</i>)</p> <p><input type="checkbox"/> No, my country does not use DDT</p> <p><input type="checkbox"/> No (<i>please state reason why it has not done so</i>)</p> <p><i>(Please note that a specific format is being developed jointly by the Secretariat and WHO)</i></p>		
SECTION VIII. ARTICLE 9: INFORMATION EXCHANGE		
<p>25. Has your country established, an information exchange mechanism?</p> <p><input type="checkbox"/> Yes as part of the development of the National Implementation Plan</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Other (<i>please specify</i>)</p>		
<p>26. Has your country designated a national focal point for the exchange of information pursuant Article 9 of the Convention?</p> <p><input type="checkbox"/> Yes (<i>Please provide name and full contact details</i>)</p> <p><input type="checkbox"/> No (<i>Please specify why</i>)</p> <p><input type="checkbox"/> Other (<i>please specify</i>)</p>		

SECTION IX. ARTICLE 10: PUBLIC INFORMATION, AWARENESS AND EDUCATION
<p>27. Has your country taken any measures to implement Article 10 of the Convention?</p> <p><input type="checkbox"/> Yes as part of the National Implementation Plan</p> <p><input type="checkbox"/> No <i>(Please specify why)</i></p> <p><input type="checkbox"/> Other <i>(please specify)</i></p>
SECTION X. ARTICLE 11: RESEARCH, DEVELOPMENT AND MONITORING
<p>28. Has your country taken any measures to implement Article 11 of the Convention?</p> <p><input type="checkbox"/> Yes as part of the National Implementation Plan</p> <p><input type="checkbox"/> No <i>(Please specify why)</i></p> <p><input type="checkbox"/> Other <i>(please specify)</i></p>
SECTION XI. ARTICLE 12: TECHNICAL ASSISTANCE
<p>29. Has your country taken measures to provide technical assistance, pursuant to Article 12, to another Contracting Party to the Convention? <i>(Please specify in all cases)</i></p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Other</p>
<p>30. Has your country received technical assistance, pursuant to Article 12?</p> <p><input type="checkbox"/> Yes <i>(please specify)</i></p> <p><input type="checkbox"/> No <i>(Please specify why)</i></p> <p><input type="checkbox"/> Other <i>(please specify)</i></p>
SECTION XII. ARTICLE 13: FINANCIAL RESOURCES AND MECHANISMS
<p>31. Pursuant to paragraph 1 of Article 13 of the Convention, has your country taken measures to provide, within its capabilities, financial support and incentives with respect of those national activities that are intended to achieve the objectives of the Convention, in accordance with its national plan, priorities and programmes?</p> <p><input type="checkbox"/> Yes <i>(please provide information)</i></p> <p><input type="checkbox"/> No <i>(please specify why)</i></p> <p><input type="checkbox"/> Other <i>(please provide information)</i></p>
<p>32. Pursuant to paragraph 2 of Article 13 of the Convention, has your country taken measures to provide new and additional financial resources to enable developing country parties and parties with economies in transition to meet the full incremental costs of implementing measures which fulfil their obligations under the Stockholm Convention? <i>(Please tick one box only)</i></p> <p><input type="checkbox"/> Yes <i>(please specify)</i></p> <p><input type="checkbox"/> No <i>(please specify why)</i></p> <p><input type="checkbox"/> No my country is a developing country</p> <p><input type="checkbox"/> No my country is a country with an economy in transition</p> <p><input type="checkbox"/> Other <i>(please provide information)</i></p>
<p>33. Pursuant to paragraph 3 of Article 13 of the Stockholm Convention, has your country provided financial resources to assist developing country Parties in the implementation of the Convention through other bilateral, regional and multilateral sources or channels?</p> <p><input type="checkbox"/> Yes <i>(please specify)</i></p> <p><input type="checkbox"/> No <i>(please specify why)</i></p> <p><input type="checkbox"/> No my country is a developing country</p> <p><input type="checkbox"/> No my country is a country with an economy in transition</p> <p><input type="checkbox"/> Other <i>(please provide information)</i></p>
SECTION XIII. OTHER INFORMATION
<p>34. Did you find this questionnaire user friendly?</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No <i>(If No, state why)</i></p>

35. Please provide, comments, suggestions and recommendations regarding ways to improve the questionnaire, if any.