



Distr.: General
11 March 2005

English only



**United Nations
Environment
Programme**

**Conference of the Parties of the Stockholm
Convention on Persistent Organic Pollutants
First meeting**

Punta del Este, Uruguay, 2–6 May 2005
Item 6 (d) of the provisional agenda*

**Matters for consideration or action by the Conference
of the Parties: implementation plans**

**Possible text for inclusion in the interim guidance for developing
national implementation plans for the Stockholm Convention****

Note by the Secretariat

The annex to the present note provides possible text for inclusion in the interim guidance on the development of national implementation plans under the Stockholm Convention relevant to the requirements of the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade. The possible text provided in the annex to the present note consists of suggested inserts into the interim guidance contained in document UNEP/POPS/COP.1/INF/13, and may need to be read together with that document. The information in the annex to the present document was provided by the Secretariat of the Rotterdam Convention and has not been formally edited.

* UNEP/POPS/COP.1/1.

** Stockholm Convention on Persistent Organic Pollutants, Article 7, paragraph 3; Final Act of the Conference of Plenipotentiaries on the Stockholm Convention on Persistent Organic Pollutants, Stockholm, Sweden, 22 and 23 May 2001 (UNEP/POPS/CONF/4), appendix I, resolution 1, paragraph 4; Report of the Intergovernmental Negotiating Committee for an International Legally Binding Instrument for Implementing International Action on Certain Persistent Organic Pollutants on the work of its sixth session (UNEP/POPS/INC.6/22), annex I, decision INC-6/6; Report of the Intergovernmental Negotiating Committee on the work of its seventh session (UNEP/POPS/INC.7/28), annex I, decision INC-7/7.

Annex

Possible text for inclusion in the interim guidance on the development of national implementation plans under the Stockholm Convention relevant to the requirements of the Rotterdam Convention

1. INTRODUCTION

1.2 This document (New paragraph 4 bis)

The document has been revised to include specific guidance relevant to the implementation of the Rotterdam Convention. While there are differences between the Rotterdam and Stockholm Conventions, there are also close complementarities between them. Because the listing process under the Rotterdam Convention flows in part from final regulatory actions by Parties, it may be expected that at least some of the chemicals listed under the Stockholm Convention will be listed first under the Rotterdam Convention. Many chemicals are already listed under both Conventions. In national actions to implement the two Conventions it will be important to consider these closely related sets of obligations and procedures in an integrated manner to ensure complementarity and avoid duplication and overlap.

In view of the complementarity of the Rotterdam and Stockholm Conventions countries are encouraged to consider their obligations under the Rotterdam Convention when developing their national implementation plans for the Stockholm Convention. In order to facilitate this references have been inserted in the relevant sections of this document.

2 GENERAL PRINCIPLES (addition to third bullet)

- In view of the similarities with aspects of the Rotterdam Convention, for example concerning the import and export chemicals, countries are encouraged to consider the requirements of the Rotterdam Convention when developing their NIP.

3. NATIONAL IMPLEMENTATION PLANS

3.4 Stakeholders – Who and Why (New bullet or addition to second bullet)

- Inclusion of government officials responsible for the Rotterdam Convention or other relevant international environmental agreements as a means of ensuring coordination.

5. PHASE I – ESTABLISHMENT OF COORDINATING MECHANISM AND PROCESS ORGANIZATION

5.1 Objective (add to fourth bullet)

- In particular building on work with other international environmental agreements such as the Rotterdam Convention

5.4 Stakeholders – who and why – amend first two paragraphs

- Politicians/ministers with responsibility for international environmental agreements must be involved *to encourage coordinated implementation with other relevant international environmental agreements such as the Rotterdam Convention* and to ensure appropriate high-level commitment. In addition....
- As appropriate, other government and *non-governmental officials responsible for the implementation of international environmental agreements such as the Rotterdam Convention, as well as the specific areas listed below*, should be involved or consulted.

5.5 Tasks

Project plan and organization

The PCU would:

- last bullet – insert Rotterdam Convention in the list of examples
- Identify existing programmes and initiatives which may be linked to the POPs issue (e.g. obsolete pesticides management programme, *Rotterdam Convention*, ...). Ensure that links

5.7 Available guidance documents

Protecting Human Health and the Environment: A Guide to the Rotterdam Convention on trade in hazardous chemicals and pesticides

Overview of the Rotterdam Convention

6. PHASE II – ESTABLISHMENT OF POPS INVENTORIES AND ASSESSMENT OF NATIONAL INFRASTRUCTURE AND CAPACITY

6.1 Objectives add to last bullet

- ... and international *environmental* agreements *e.g. Rotterdam Convention*

6.5 Tasks (New bullet 4 bis)

- In considering the legal framework and institutional infrastructure with reference to meeting requirements of the Stockholm Convention, an assessment should be done of the framework and infrastructure developed to implement other international environmental agreements such as the Basel Convention and whether these might be applicable. When developing the necessary infrastructure for the Stockholm Convention consideration should be given to simultaneously developing the relevant legal framework and infrastructure for the Stockholm Convention.
- Review the status of import decisions taken under the Prior Informed Consent (PIC) procedure of the Rotterdam Convention for those chemicals that are also subject to the Stockholm Convention as a means of defining national objectives regarding these substances.

6.7 Available guidance documents

Guidance to Designated National Authorities on the Operation of the Rotterdam Convention Secretariat to the Rotterdam Convention (Rome Geneva 2004)

Decision Guidance Documents (DGDs) for the individual chemicals subject to the Prior Informed Consent (PIC) procedure under the Rotterdam Convention.

PIC Circular

7. PHASE III – PRIORITY ASSESSMENT AND OBJECTIVE SETTING

7.1 Objectives – amend last bullet point as follows:

To set appropriate short and long term objectives for management of POPs in compliance with the Stockholm Convention *as well as using the Rotterdam Convention as a means for the identification and proactive/preventive action to effectively manage chemicals with POPs like characteristics.*

7.2 Outcome (New bullet)

Understanding of the possible links to the Rotterdam Convention and opportunities for synergy – collaborative action between the two Conventions.

7.3 Primary responsibility (New bullet)

In order to help ensure that the work done under the Rotterdam Convention is given full consideration the designated national authority identified under the Rotterdam Convention should be involved.

8 PHASE IV – FORMULATION OF NIP

8.1 Objective – add to first bullet second line

... the Stockholm Convention *and relevant obligations under the Rotterdam Convention* with indication of the ...

8.2 Outcomes – add to first bullet

... Stockholm Convention and *where relevant the Rotterdam Convention, as well as*, country objectives for POPs management.

8.4 Tasks

NIP Development General Issues – para 4 – last sentence

.... Linkages with the key elements of the Rotterdam Convention *are highlighted within this document. Similarly opportunities for cooperative action in the implementation of the Rotterdam Convention are identified in the more detailed guidance on individual action plans to be developed under the Stockholm Convention. Linkages between the Conventions are further* described in a document entitled....

8.6 Available guidance documents

Guide on the Development of National Laws to Implement the Rotterdam Convention Secretariat of the Rotterdam Convention (September 2004).